

# HAWAII STATE ETHICS COMMISSION

## DISCLOSURE OF FINANCIAL INTERESTS (LONG FORM)

<b>NAME (Last, First, Middle)</b>  McCLAIN, David	<b>STATE POSITION HELD: (Dept/Div or Board/Commission)</b> University of Hawaii System  <b>TERM OF OFFICE (Begin/End):</b> 03/07/06 / 07/31/09
---	--

**FOR EACH ITEM, EXCEPT ITEM 9, DISCLOSE INTERESTS OF FILER, SPOUSE, AND DEPENDENT CHILDREN.**  
 USE THE ABBREVIATIONS: "F" for filer, "SP" for spouse, "DC" for dependent children, and "JT" for joint interests of the spouse and filer.

### ITEM 1: INCOME FOR SERVICES RENDERED FOR PRECEDING CALENDAR YEAR

List the source (the term "source" also includes any state or other government agencies) and amount of all income of \$1,000 or more received during the preceding calendar year, for services rendered, and the nature of the services rendered.

F,SP,DC,JT	NAME AND ADDRESS OF SOURCE OF INCOME	AMOUNT	SERVICES RENDERED
F	University of Hawaii System 2444 Dole Street, Honolulu, HI 96822		Interim President, President
F	First Insurance Company of Hawaii 1100 Ward Avenue, Honolulu, HI 96814	C	Director
F	ML Resources P.O. Box 1826, Papikou, HI 96781	C	Director

☐ Check here if entry is None

☐ Check here if additional sheets are attached

### ITEM 2: OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES

List the amount and identity of every ownership or beneficial interest held during the disclosure period in any business incorporated, regulated, or licensed to carry on business in the State if the interest has a value of \$5,000 or more or is equal to 10% or more of the ownership of the business.

F,SP,DC,JT	BUSINESS NAME AND ADDRESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE OR NO. OF SHARES

☒ Check here if entry is None

☐ Check here if additional sheets are attached

**ITEM 3: TRANSFER OF OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES**

List any ownership or beneficial interests in businesses transferred during the disclosure period and the date of transfer.

F,SP, DC,JT	OWNERSHIP OR BENEFICIAL INTEREST TRANSFERRED DURING THIS DISCLOSURE PERIOD	DATE OF TRANSFER

☒ Check here if entry is None☐ Check here if additional sheets are attached**ITEM 4: CREDITORS**

List the name and address of each creditor to whom the value of \$3,000 or more was owed during the disclosure period and the original amount and amount outstanding (excluding debts arising out of retail transactions or the purchase of consumer goods).

F,SP, DC,JT	NAME OF CREDITOR AND ADDRESS	ORIGINAL AMOUNT OWED	AMOUNT OUTSTANDING
JT	First Hawaiian Bank, Honolulu, HI	J	J

☐ Check here if entry is None☐ Check here if additional sheets are attached**ITEM 5: OFFICERSHIPS, DIRECTORSHIPS, TRUSTEESHIPS**

List every officership, directorship, trusteeship, or other fiduciary relationship held during the disclosure period in any business or organization, the term of office, and the annual compensation.

F,SP, DC,JT	NAME AND ADDRESS OF BUSINESS	TITLE HELD	TERM OF OFFICE	ANNUAL COMPENSATION
F	First Insurance Co of Hawaii 1100 Ward Avenue, Honolulu, HI 96814	Director	Annual	C
F	ML Resources P.O. Box 1826, Papaikou, HI 96781	Director	Annual	C
F	Please see attached list for non-profit and other uncompensated boards of directors and advisory boards			

☐ Check here if entry is None☒ Check here if additional sheets are attached

**ITEM 6: INTERESTS IN REAL PROPERTY HELD IN THE STATE**

List interests in real property in the State, held during the disclosure period, if the interest has a value of \$10,000 or more.

F,SP, DC,JT	STREET ADDRESS	TAX MAP KEY NUMBER	VALUE
JT	84 Laiki Place Kailua, HI 96734 (acquired January 2003)	1/4-3-019-028	K

☐ Check here if entry is None☐ Check here if additional sheets are attached**ITEM 7: INTERESTS IN REAL PROPERTY ACQUIRED**

List interests in real property in the State, acquired during the disclosure period, if the interest has a value of \$10,000 or more.

F,SP, DC,JT	TAX MAP KEY NUMBER & STREET ADDRESS	AMOUNT & NATURE OF CONSIDERATION PAID	NAME OF PERSON RECEIVING THE CONSIDERATION

☒ Check here if entry is None☐ Check here if additional sheets are attached**ITEM 8: INTERESTS IN REAL PROPERTY TRANSFERRED**

List interests in real property in the State, transferred during the disclosure period, if the interest has a value of \$10,000 or more.

F,SP, DC,JT	TAX MAP KEY NUMBER & STREET ADDRESS	AMOUNT & NATURE OF CONSIDERATION RECEIVED	NAME OF PERSON FURNISHING THE CONSIDERATION

☒ Check here if entry is None☐ Check here if additional sheets are attached

List the names of clients personally represented by you before state agencies, except in ministerial matters, for a fee or compensation during the disclosure period, excluding clients represented before courts.

**ITEM 10: CREDITOR INTERESTS IN INSOLVENT BUSINESSES**  
List the amount and identity of every creditor interest in insolvent businesses, held during the disclosure period, if the interest has a value of \$5,000 or more.

**CERTIFICATION:** I hereby certify that the above is a true, correct, and complete statement to the best of my knowledge and belief. If I have a spouse and/or dependent children, I also hereby certify that I have included their interests on this form to the best of my knowledge and belief. I understand that it is a violation of State law, chapter 84, HRS, if information is not disclosed as required by chapter 84, HRS. I further understand that there are statutory penalties for noncompliance.

5/25/06  
DATE

David S. McClain  
Disclosure of Financial Interests  
Item 5

Offices/Positions held on Non-Profit and Other Boards of Directors & Advisory Boards

<u>Name</u>	<u>Title</u>	<u>Compensation</u>	<u>Term of Office</u>
Pacific and Asian Affairs Council	Director	None	Three years
Chamber of Commerce of Hawai'i	Director	None	Three years
Hawaiian Education Council	Director	None	One year
Maui Economic Development Board	Director	None	One year
Hanahauoli School	Director	None	Four years
Enterprise Honolulu	Director	None	Three years
Japan-America Society of Hawai'i	Director	None	Three years
Crown Prince Akihito Scholarship Foundation	Director	None	Indefinite
Foundation for Asia Pacific Ctr for Security Studies	Director	None	Indefinite
Ritsumeikan Asian Pacific University Beppu, Oita Prefecture, Japan	Advisor	None	Indefinite
Career & Technical Education Coordinating Advisory Council	Director (Ex-Officio)	None	
East West Center	Director (Ex-Officio)	None	
Hawai'i Business Roundtable	Director (Ex-Officio)	None	
UH Alumni Association	Director (Ex-Officio)	None	
UH Foundation	Director (Ex-Officio)	None	